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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

### CERTIFICATE OF MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on March 11, 2002 in an envelope as First Class Mail, addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

Pat Tate

Pat Tate



Attorney Docket No.: INT1P102

First Named Inventor: WOODFILL

Application No. 10/020,862

### RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Duplicate for  
fee processing

Sir: This is a Response to Notice to File Missing Parts in a patent application in the name of inventors:  
JOHN ISELIN WOODFILL  
HENRY HARLYN BAKER  
BRIAN VON HERZEN  
ROBERT DALE ALKIRE

For: DATA PROCESSING SYSTEM AND METHOD

#### Application Elements:



Declaration



Newly executed (original or copy)



Copy from a prior application (37 CFR 1.63(d) for a continuation or divisional).  
The entire disclosure of the prior application from which a copy of the declaration is  
herein supplied is considered as being part of the disclosure of the accompanying  
application and is hereby incorporated by reference therein.

Deletion of inventors Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

#### Accompanying Application Parts:



Information Disclosure Statement with Form PTO-1449



Copies of IDS Citations



Preliminary Amendment (*New claims numbered after highest original claim in prior application.*)



Return Receipt Postcard

- Verified Statement Claiming Small Entity Status filed in prior application. Status still proper and desired.
- Other: Substitute Drawings

#### Amendments

- Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee. (*At least one original independent claim must be retained.*)

#### Fee Calculation (37 CFR § 1.16)

	(Col. 1) <u>NO. FILED</u>	(Col. 2) <u>NO. EXTRA</u>	<u>RATE</u>	<u>SMALL ENTITY</u> <u>FEE</u>	<b>OR</b>	<u>RATE</u>	<u>LARGE ENTITY</u> <u>FEE</u>
BASIC FEE				\$355 \$	OR	\$710 \$	
TOTAL CLAIMS	_____ -20 = _____			x9 = \$	OR	x18 = \$	
INDEP CLAIMS	_____ -03 = _____			x40 = \$	OR	x80 = \$	
[ ] Multiple Dependent Claim Presented				\$130 = \$	OR	\$260 = \$	
If the difference in Col. 1 is less than zero, enter "0" in Col. 2.				Total \$	OR	Total \$	

- Applicants petition for a two (2) month extension of time to respond under 37 CFR § 1.136(a).

- Check No. 444 in the amount of \$1122.00 is enclosed.

#### General Authorizations

- Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for this or any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0685 (Order No. INT1P102C1).

- The Commissioner is given general authorization to charge any fees or to credit any overpayment during the pendency of this application to Deposit Account No. 50-0685 (Order No. INT1P102C1 ).

- Please send correspondence to the following address:

Customer No. 21912  
VAN PELT & YI LLP  
4906 El Camino Real, Suite 205  
Los Altos, CA 94022

Date: 3-11-02

Lee Van Pelt  
Reg. No. 38,352



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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/020,862	12/14/2001	John Iselin Woodfill	INT1P102C2

21912  
RITTER VAN PELT & YI, L.L.P.  
4906 EL CAMINO REAL  
SUITE 205  
LOS ALTOS, CA 94022



CONFIRMATION NO. 1727

FORMALITIES LETTER



\*OC000000007447292\*

Date Mailed: 02/08/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$252.
  - \$252 for 3 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1122.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

03/22/2002 SFELEKE1 00000061 10020862

01 FC:101	740.00 0P
02 FC:102	252.00 0P
03 FC:105	130.00 0P

*A copy of this notice **MUST** be returned with the reply.*

*T. Peters*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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